IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| Serial No. | 10/611,575 |
|---|-----------------------|
| Filing Date | 7/1/2003 |
| Confirmation No. | 5173 |
| Inventorship | John S Holmes |
| Applicant | Microsoft Corporation |
| Group Art Unit | 2154 |
| Attorney's Docket No. | MS1-1526US |
| Title: Transport System for Instant Messaging | |

INFORMATION DISCLOSURE STATEMENT

References -- See Attached Form PTO-1449

REMARKS

The citations listed, copies attached, are submitted in compliance with the duty of disclosure defined in 37 CFR §1.56. The Examiner is requested to make these citations of official record in this application.

Respectfully Submitted,

Date: 1/17/1

William J. Breen, III Reg. No. 45313

Approved for use through 07/31/2006, OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

Sheet 1

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

| Complete if Known | | | | |
|------------------------|---------------|--|--|--|
| Application Number | 10/611,575 | | | |
| Filing Date | 7/1/2003 | | | |
| First Named Inventor | John S Holmes | | | |
| Art Unit | 2154 | | | |
| Examiner Name | | | | |
| Attorney Docket Number | MS1 1526US | | | |

| | U. S. PATENT DOCUMENTS | | | | | | | | |
|-----------------------|--------------------------|---|--------------------------------|--|---|--|--|--|--|
| Examiner Initials* | Cite No. ¹ | Document Number Number-Kind Code ^{2 (Florom)} | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | | | | |
| | | ^{US-} 20010025280 | 9/27/2001 | Mandato, et al. | | | | | |
| | | US- | | | | | | | |
| | | US- | | · | | | | | |
| | | US- | | | | | | | |
| | | US- | · | | | | | | |
| | | US- | | | | | | | |
| | | US- | | | | | | | |
| | | US- | | | | | | | |
| - | | US- | | | | | | | |
| | | US- | | | | | | | |
| | | US- | | | | | | | |
| | | US- | | | | | | | |
| | | US- | | | | | | | |
| | | US- | | | | | | | |
| | | US- | • | | | | | | |
| | | US- | | | | | | | |
| | | US- | | | | | | | |
| | | US- | | | | | | | |
| | | US- | | | | | | | |

| | FOREIGN PATENT DOCUMENTS | | | | | | | |
|-----------------------|--------------------------|---|---------------------|--|---|----------------|--|--|
| Examiner Initials* | Cite No.1 | Foreign Patent Document | Publication Date | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages | | | |
| | | Country Code ³ Number ⁴ Kind Code ⁵ (if known) | MM-DD-YYYY | · | Or Relevant Figures Appear | T ⁶ | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | _ | | | | | | | |

| Examiner | Date | |
|-----------|------|--------|
| Signature | | idered |
| | | |

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard, ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

PTO/SB/08B (08-03)
Approved for use through 07/31/2006. OMB 0851-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

| Substitute for form 1449/PTO | | | | Complete if Known | | | |
|-----------------------------------|----------|--------------------|----------------------|------------------------|----------|--------|--|
| | | Application Number | 10/611,57 | 5 | 1 | | |
| INF | ORMATION | DIS | CLOSURE | Filing Date | 7/1/2003 | | |
| STATEMENT BY APPLICANT | | | First Named Inventor | John S Ho | lmes | | |
| (Use as many sheets as necessary) | | | | Art Unit | 2154 | | |
| (ooc as many should be messary) | | Examiner Name | | | | | |
| Sheet | 2 | of | 2 | Attorney Docket Number | MS1 | 1526US | |

| Examiner | Cite | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of | |
|-------------|------------------|--|----------------|
| Initials* | No. ¹ | the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
| | | Application Serial Number 10/611,575; Holmes, et al.; Filed 07/01/2003. | |
| | | Application Serial Number 10/611,599; Miller, et al.; Filed 07/01/2003. | |
| | | Application Serial Number 10/987,396; Zlateff, et al.; Filed11/12/2004. | |
| | | Application Serial Number 11/111,532; Zlateff, et al.; Filed 04/21/2005. | |
| | | "New Tunneling Techniques", Cisco Systems, available online at www.cisco.com, accessed 06/06/2003, 3 pages. | |
| | | "The ABC's of IP Version 6: Understanding the Essentials Series", Cisco Systems, available online at www.cisco.com/go/abc, 76 pages. | |
| | | | |
| | | | |
| | | | |
| | | | |

| | | |
|-----------|------------|--|
| Examiner | Date | |
| Signature | Considered | |

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.